

Report to:	Audit Committee
Relevant Officer:	Hilary Shaw, Head of Business Support and Resources
Date of Meeting	24 September 2015

UPDATE ON FRAMEWORK-I PHASE 2 IMPLEMENTATION

1.0 Purpose of the report:

1.1 To update the Audit Committee on actions taken to address the recommendations of the Internal Audit report on Framework-i Phase 2 Implementation dated 27 January 2015.

2.0 Recommendation(s):

2.1 The Audit Committee is asked to note the updates on the actions taken, and agree that all actions can now be deemed to be completed.

3.0 Reasons for recommendation(s):

3.1 All recommendations have been addressed by Adult Services and Children's Services Departments, and the Chief Internal Auditor signed off the priority 1 recommendation as completed on 25 August 2015.

3.2a Is the recommendation contrary to a plan or strategy adopted or approved by the Council? Yes/ No

3.2b Is the recommendation in accordance with the Council's approved budget? Yes/ No

3.3 Other alternative options to be considered:

N/A

4.0 Council Priority:

4.1 The relevant Council Priorities are:

- Tackle child poverty, raise aspirations and improve educational achievement
- Safeguard and protect the most vulnerable
- Deliver quality services through a professional, well-rewarded and motivated workforce

5.0 Background Information

- 5.1 In January 2015, Internal Audit issued its report on the implementation of Phase 2 of the Framework-i system. Framework-i is the case management system used by both Adult Social Care and Children's Social Care.
- 5.2 The report contained 11 recommendations, some of which had already been actioned by the time the final report was issued. One of the recommendations (R11) was classed as priority 1, and suggested that the rationale of commissioning decisions for children's placements, including the providers that had been considered and why one provider had been selected over another, should be recorded.
- 5.3 All of the actions have now been addressed and actions taken as appropriate. The Chief Internal Auditor signed off the priority 1 action as completed at the end of August 2015.
- 5.4 The final internal audit report can be found appended to this report. The second appendix extracts the recommendations from the audit report, and includes commentary on the actions taken for each of the recommendations.

Does the information submitted include any exempt information?

Yes/No

List of Appendices:

Appendix 3a - Internal Audit report – Framework-i Phase 2 Implementation dated 27 January 2015.

Appendix 3b - Agreed Action Plan taken from Internal Audit report, incorporating comments of actions taken.

6.0 Legal considerations:

6.1 None.

7.0 Human Resources considerations:

7.1 None.

8.0 Equalities considerations:

8.1 None.

9.0 Financial considerations:

9.1 None.

10.0 Risk management considerations:

10.1 None.

11.0 Ethical considerations:

11.1 None.

12.0 Internal/ External Consultation undertaken:

12.1 N/A

13.0 Background papers:

13.1 None.